

Change of Account Information for Corporate Client

公司客戶帳戶信息更改表格

Account Name 戶口名稱		Account No. 戶口號碼	
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IMPORTANT NOTICE 重要通知：

An account holder/controlling person should immediately report all changes in his/her tax residency status to GF Securities (Hong Kong) Brokerage Limited by complete the “Self-Certification form-Entity/Controlling Person” and “W-8 or W9 form”.

帳戶持有人/控權人的稅務身分如有所變更，應立即填妥[自我證明表格-實體/控權人]及/或[W-8或W9表格]通知廣發証券(香港)經紀有限公司有關變更。

Please complete in BLOCK letters where appropriate 請以正楷填寫有關變更部分

Part I - Personal Information 第一部分 – 個人資料	
Change Name of Company (Note1) 公司名稱變更 (註 1)	
Business Registration No. / Certificate of Incorporation (Note1) 商業登記/公司註冊號碼 (註 1)	
Place/Date of Incorporation (Note1) 註冊國家/日期 (註 1)	
Nature of Business 業務性質	
Registered/ Business Address (Note2) 註冊/營業地址(註 2)	
Mailing Address 通訊地址 (Please provide your Mailing Address if it is different with the above Residential Address 如與地址不相同，請提供閣下之通 訊地址)	<input type="checkbox"/> Same as the above 同上; or the below address 或以下地址
Email Address 電郵地址	
Method of Account Statement Collection 戶口結單收取方式	<input type="checkbox"/> Mail 郵寄 (*Mailing Address only 只寄通訊地址) <input type="checkbox"/> Email 電子郵件
Contact Phone No. 聯絡電話	(+)
Mobile Phone No. 手提電話電話	(+)
Fax No. 傳真號碼	(+)
Mobile Phone No. of Authorized Trader 被授權交易人員手提電話號碼	Name of Authorized Trader 被授權交易人員姓名： Mobile Phone No. 手提電話號碼：(+) Email Address 電郵地址：
Bank Account Information (Please provide bank proof) 銀行帳戶資料 (請提供銀行證明)	Name of Bank 銀行名稱： Bank Account No. 銀行帳戶號碼： Swift Code 代碼： Currencies 幣種：
Others 其他	

Part II- Financial Profile 第二部分 – 財務狀況	
Estimated annual income (in HK\$) 估計每年收入 (以港元計)	
<input type="checkbox"/> HK\$1 – HK\$200,000	<input type="checkbox"/> HK\$200,001 – HK\$500,000
<input type="checkbox"/> HK\$500,001 – HK\$1,000,000	<input type="checkbox"/> HK\$1,000,001 – HK\$3,000,000
<input type="checkbox"/> HK\$3,000,001 – HK\$8,000,000	<input type="checkbox"/> >HK\$8,000,000
Approximate net value of my assets (in HK\$) 概約資產淨值(以港元計)	
<input type="checkbox"/> <HK\$1,000,000	<input type="checkbox"/> HK\$1,000,000 – HK\$3,000,000
<input type="checkbox"/> HK\$3,000,001 – HK\$8,000,000	<input type="checkbox"/> HK\$8,000,001 – HK\$30,000,000
<input type="checkbox"/> HK\$30,000,001 – HK\$80,000,000	<input type="checkbox"/> >HK\$80,000,000

Part III- Corporate Structure 第三部分 – 公司結構			
Particulars of Directors of the Corporate (Note3) 公司董事詳情 (註 3):			
Name 姓名	ID/Passport No. 身分證/證件號碼	Nationality 國籍	Address 地址
Particulars of Shareholders of the Corporate (Note 3) 公司股東詳情 (註 3):			
An individual who are directly or indirectly hold 25% or more of the shares in issue or equity interest of the Corporate 直接或間接持有百分之廿五或以上公司已發行股份或公司之股本權益人士			
Name 姓名	Address 地址	% 百分比	
If any changes of Authorized Traders and Signatories, please provide a Board Resolution and attached with the ID/passport copy of Authorized Person.			
如要更改公司的授權交易及簽署人士, 請另提供董事會決議及相關授權人的身分證明文件			

Part IV- Client Self-Declaration 第四部分 – 客戶背景聲明
<p>Is/Was Client's owner/controlling person a politically exposed persons (PEPs) 客戶的實益擁有人/控權人是否政治人物?</p> <p><input type="checkbox"/> No 否</p> <p><input type="checkbox"/> Yes 是 (Position 擔任職位: _____, Working_Period 擔任年份: _____, Other, please specify 請註明: _____.)</p>
<p>Is/Was Client's owner/controlling person related to State Owned Enterprises (SOEs) 客戶的實益擁有人/控權人過往是否曾擔任國企高管?</p> <p><input type="checkbox"/> No 否</p> <p><input type="checkbox"/> Yes 是 (Name of SOEs : _____, Relationship 關係: _____, Other, please specify 請註明: _____.)</p>
<p>Is Client's owner/controlling person had been prosecuted in the past or have a criminal record 客戶的實益擁有人/控權人過往是否曾被起訴或有過犯罪相關記錄?</p> <p><input type="checkbox"/> No 否</p> <p><input type="checkbox"/> Yes 是 (Please specify 請註明: _____.)</p>
<p>Is Client's owner/controlling person had been involved administrative penalties in the past 客戶的實益擁有人/控權人過往是否曾受過相關行政處罰?</p> <p><input type="checkbox"/> No 否</p> <p><input type="checkbox"/> Yes 是 (Please specify 請註明: _____.)</p>

Part V – Identity Declaration and Signature(s) 身份聲明與簽署

- I/We am/are the ultimate beneficial owner(s) of the above account
本人/吾等為上述帳戶的最終受益人/發出指示人。
- I/We hereby certify and confirm that the above information provided is true and correct.
本人/吾等同意及確認以上所提供的資料是真實及正確的並簽署核實。

Client Signature 客戶簽署及
公司印章(如適用): _____

Client Name 客戶姓名: _____

Date 日期: _____

Notes 註:

- Please provide a Certified true copy of the Certificate of Incorporation, Change of Certificate of Incorporation and/or Memorandum and Association 請提供上述已更新公司註冊證書，公司註冊證書變更和/或組織章程大綱的認證副本
- Please provide address proof (e.g. utility bill or bank statement issued within the last 3 months). 請提供地址證明 (如最近三個月內發出的水/電/煤氣單或銀行月結單)
- Please provide the latest Company Search Report (i.e. Annual Return) or Certificate of Incumbency issued by the registered agent within the late 6 months or equivalent with Certified True Copy. 請提供最新的周年申報/公司查冊或最近 6 個月內由當地註冊代理人簽發的職權證明書 (現任職位證明書) 或等同文件的核證本。

For Internal Use Only 只供內部使用			
Verification Steps 步驟	Verified by 簽署核對	Inputted by 資料輸入	Checked by 資料校對
Signature/Date 簽署/日期			